

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Donald A. Tomalia and Douglas R. Swanson


Serial No.: 10/568,481

Group Art Unit: 1713

Filed: November 9, 2006

Examiner: Unknown

For: HYPERBRANCHED (PAMAM)POLYMERS VIA A ONE POT PROCESS

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Commissioner for Patents  
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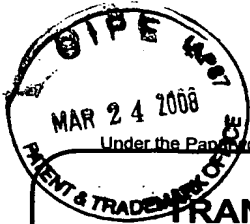
PRELIMINARY AMENDMENT

This is a Preliminary Amendment to insert a Statement Regarding Federally Sponsored Research or Development in the specification of this above-identified application.

Applicants believe that no additional fees are due for this Amendment; however, if Applicants are in error as to this opinion, the Commissioner is hereby authorized to charge any required fee to our Deposit Account No. 503384.

03-25-08

IFW 173



PTO/SB/21 (01-08)

Approved for use through 03/31/2008. OMB 0651-0031  
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# TRANSMITTAL FORM

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Total Number of Pages in This Submission 4

Application Number	10/568,481
Filing Date	November 9, 2006
First Named Inventor	Donald A. Tomalia
Art Unit	1713
Examiner Name	Unknown
Attorney Docket Number	DNT-6 US

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Post Card
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### Remarks

Preliminary Amendment to insert the Statement Regarding Federally Sponsored Research or Development.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Technology Law, PLLC		
Signature			
Printed name	Karen L. Kimble		
Date	March 24, 2008	Reg. No.	26,995

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature		
Typed or printed name	Karen L. Kimble	Date March 24, 2008

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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